

Intermediary Cash ISA for tax year 2011/2012

Application form

**PRINCIPALITY
BUILDING SOCIETY**

Start here ↓

Do you have a savings Account or mortgage with Principality?

No Yes Account number

1(a) Intermediary details

Name


Tel No.

Firm name and Address

1(b) About your proposed Investment

ISA name and Issue number *for example Fixed Rate ISA - Issue 6*

Amount to open your ISA: £

 Make your cheque payable to 'Principality re: [insert your name here]' and attach it to the bottom of the page overleaf.

Source of this money *for example: savings, inheritance, salary etc*

2 About you

Title Mr Mrs Miss Ms Other

Surname

All other names in full

Date of birth Nationality

Occupation

National Insurance (NI) number
you'll find this on payslips or letters from HM Revenue & Customs or the Department for Work and Pensions

Tick here if you don't have an NI number

Full address - *we do not accept a PO Box or 'care of' address*

Length of time at this address years months

If less than three years at your current address please provide us with your previous addresses, and length of time at each address, on a separate sheet.

Phone numbers:

home (include area code)

work (include area code)

mobile

email address

3 How would you like interest to be paid?

Before completing this section please refer to your chosen product's Account Terms.

Is the interest on the ISA you are opening paid

Annually *fill in 1, 2 or 3 below* Monthly *fill in 2 or 3 below*

1 Added to your ISA annually

2 Into Principality Account number

3 Into the following bank/building society account

Bank/building society name

Sort code

Account number

Account held in the name(s) of

Reference number (if applicable)

About your ISA allowance

From 6 April 2011, the ISA subscription limit will be £10,680 for anyone eligible to invest in an ISA. Up to £5,340 of the new ISA allowance can be saved in a cash ISA with one provider. The remainder of the £10,680 can be invested in a stocks and shares ISA with either the same or another provider. Alternatively, the full £10,680 can be invested in a stocks and shares ISA with one provider.

Continue over the page →

Office use only

Account number

Date Opened

4 Declaration and signature

Before you sign this application please make sure you have read the terms and conditions of this cash ISA as you will be bound by them. If you do not understand any of them please contact us for further information. If false or inaccurate information is provided and fraud is identified, details will be passed to fraud prevention agencies to prevent fraud and money laundering. Further details explaining how the information held by fraud prevention agencies may be used can be obtained by contacting us at the address in Section 6. Please check that you've answered all the questions that apply to you.

I confirm that I have received and read the following:

- Account Terms for this ISA
- General Terms as set out in our 'Savings Terms and Conditions' leaflet
- 'Your Savings Guide' and other applicable Account Brochures
- The 'Your Information' leaflet about the Data Protection Act and how Principality may use my personal information

I declare that:

- This application form has been completed to the best of my knowledge and belief
- All subscriptions made, and to be made, belong to me
- I am 16 years of age or over
- I have not subscribed and will not subscribe to more than the overall subscription limit in total to a cash ISA and a stocks and shares ISA in the same tax year
- I have not subscribed and will not subscribe more than the Cash ISA subscription limit to one cash ISA
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA
- I am resident and ordinarily resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in civil partnership with, a person who performs such duties. I will inform Principality if I cease to be so resident and ordinarily resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I authorise Principality Building Society to:

- 1) Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash; and
- 2) Make on my behalf any claims to relief from tax in respect of ISA investments.

I apply to subscribe to this Cash ISA for the tax year 2011/2012 and each subsequent year until further notice; and I agree to the ISA Account Terms and General Terms.

If you are already a Principality Member please go to the signature box below. If you are not a Principality Member please read the following, which will apply to you when you open your ISA:

By applying to open this Account, I agree with Principality and the Charities Aid Foundation ("CAF") that I will assign to CAF (or to any charities nominated by it, but to no other person) the rights to any Conversion Benefits which I might become entitled. I acknowledge that my agreement cannot be withdrawn or varied and authorise Principality to make over to CAF (or to any charities nominated by it) any such Conversion Benefits without further notice to me. 'Conversion Benefits' means any benefits under the terms of any future transfer of the Principality's business to a company except the statutory right to have shares in Principality converted into deposits with the company and, if Principality merges with any other Building Society, 'Society' shall, after the date of such merger, extend to such other Society.

Please see the General Terms for further details about this assignment. References to 'we' or 'us' in this form are references to Principality Building Society.

Signature

Date

5 What to do now?



Make your cheque payable to 'Principality re: [insert your name here]' and take it with this form to a Principality branch or post it to:

Principality Building Society, Principality Buildings,
Queen Street, Cardiff CF10 1UA



If sending a cheque in the post please attach it to the bottom of this page. We will send your Account documents if we are able to accept your application. Alternatively we will write to you if we are unable to accept your application.

Future marketing to you

When we write to you to tell you about your Account reaching Maturity (if applicable), then in order to help you decide what to do with your funds, we may inform you about other appropriate savings Accounts we have available.

In addition we may also provide you with information regarding existing or new similar products and services offered by Principality, (including but not limited to mortgage lending, savings, general insurance and financial services) and provided either by us, our associated companies or our carefully selected insurance and financial services partners.

Please tick if you do not want to receive this additional information by

Mail Email Telephone SMS

To change your marketing preferences please contact us.

Where offered I would like to receive future correspondence in Welsh

Yes

Office use only

Checklist (All documents 1-7 must be given to customer and 8-10 explained to customer then boxes ticked **before** point of sale to confirm this)

- 1 Fixed Rate ISA Guide
- 2 Fixed Rate ISA Account Guide
- 3 Savings Terms and Conditions
- 4 Savings Rates Guide
- 5 'Your Information' leaflet
- 6 Leaflet on how we calculate interest rates if requested by customer
- 7 Fees and charges leaflet
- 8 Summary Financial Statement to new investors
- 9 Cooling off rights
- 10 Confidentiality of information, and exceptions
- 11 Notification of changes in interest rates and Terms and Conditions

Account type

Opening amount

Date opened

Branch

Cashier's name

Checked by